

Please type a plus sign (+) inside this box → +

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit on original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 19]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ]
5. Oath or Declaration
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_ of prior application No. \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |   |  |                                   |               |                |  |
|--|---|--|-----------------------------------|---------------|----------------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label |   | <i>(Insert Customer No. or Attach bar code label here)</i> |                                   |               | or             | <input checked="" type="checkbox"/> Correspondence address below |
| Name   | Paul J. Farrell, Esq.   |  |                                   |               |                |  |
| Address  | DILWORTH & BARRESE, LLP   |  |                                   |               |                |  |
| City   | Uniondale   | State  | New York                          | Zip Code      | 11553          |  |
| Country  | U.S.A.  | Telephone  | (516) 228-8484                    | Fax           | (516) 228-8516 |  |
| Name (Print/Type)  | Paul J. Farrell   |  | Registration No. (Attorney/Agent) | 33,494        |                |  |
| Signature  |  |  | Date                              | July 15, 2003 |                |  |

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333230645US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Name) Jeff Kirshner

Dated: July 15, 2003

17354 U.S. PTO  
10/620247  
07/15/03



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 852.00)

## Complete if Known

|                      |                           |
|----------------------|---------------------------|
| Application Number   |                           |
| Filing Date          | concurrent herewith       |
| First Named Inventor | Arun HAMPAPUR, et al.     |
| Examiner Name        |                           |
| Art Unit             |                           |
| Attorney Docket No.  | 728-235 (YOR920030122US1) |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
50-0510/IBM (Yorktown Heights)  
Deposit Account Name  
Dilworth & Barrese LLP

The Commissioner is authorized to: (check all that apply)  
 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid    |
|--------------|--------------|---------------|---------------|------------------------|-------------|
| 1001 750     | 2001 375     |               |               | Utility filing fee     | 750         |
| 1002 330     | 2002 165     |               |               | Design filing fee      |             |
| 1003 520     | 2003 260     |               |               | Plant filing fee       |             |
| 1004 750     | 2004 375     |               |               | Reissue filing fee     |             |
| 1005 160     | 2005 80      |               |               | Provisional filing fee |             |
| SUBTOTAL (1) |              |               |               |                        | (\$ 750.00) |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below  | Fee Paid |
|--------------|--------------------|--------------------|--------------|-----------------|----------|
| 21           | 4                  |                    | -20** = 1    | x 18.00 = 18.00 | 18.00    |
|              |                    |                    | - 3** = 1    | x 84 = 84.00    | 84.00    |
|              |                    |                    |              | 280 =           |          |

| Large Entity | Small Entity | Fee Description  |
|--------------|--------------|--|
| 1202 18      | 2202 9       | Claims in excess of 20                                     |
| 1201 84      | 2201 42      | Independent claims in excess of 3                          |
| 1203 280     | 2203 140     | Multiple dependent claim, if not paid                      |
| 1204 84      | 2204 42      | ** Reissue independent claims over original patent         |
| 1205 18      | 2205 9       | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |              | (\$ 102.00)  |

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 1051 130     | 2051 65      |               |               | Surcharge - late filing fee or oath  |          |
| 1052 50      | 2052 25      |               |               | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130     | 1053 130     |               |               | Non-English specification  |          |
| 1812 2,520   | 1812 2,520   |               |               | For filing a request for ex parte reexamination                            |          |
| 1804 920*    | 1804 920*    |               |               | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*  | 1805 1,840*  |               |               | Requesting publication of SIR after Examiner action                        |          |
| 1251 110     | 2251 55      |               |               | Extension for reply within first month                                     |          |
| 1252 410     | 2252 205     |               |               | Extension for reply within second month                                    |          |
| 1253 930     | 2253 465     |               |               | Extension for reply within third month                                     |          |
| 1254 1,450   | 2254 725     |               |               | Extension for reply within fourth month                                    |          |
| 1255 1,970   | 2255 985     |               |               | Extension for reply within fifth month                                     |          |
| 1401 320     | 2401 160     |               |               | Notice of Appeal   |          |
| 1402 320     | 2402 160     |               |               | Filing a brief in support of an appeal                                     |          |
| 1403 280     | 2403 140     |               |               | Request for oral hearing   |          |
| 1451 1,510   | 1451 1,510   |               |               | Petition to institute a public use proceeding                              |          |
| 1452 110     | 2452 55      |               |               | Petition to revive - unavoidable   |          |
| 1453 1,300   | 2453 650     |               |               | Petition to revive - unintentional   |          |
| 1501 1,300   | 2501 650     |               |               | Utility issue fee (or reissue)   |          |
| 1502 470     | 2502 235     |               |               | Design issue fee   |          |
| 1503 630     | 2503 315     |               |               | Plant issue fee  |          |
| 1460 130     | 1460 130     |               |               | Petitions to the Commissioner  |          |
| 1807 50      | 1807 50      |               |               | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180     | 1806 180     |               |               | Submission of Information Disclosure Stmt                                  |          |
| 8021 40      | 8021 40      |               |               | Recording each patent assignment per property (times number of properties) |          |
| 1809 750     | 2809 375     |               |               | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 750     | 2810 375     |               |               | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 750     | 2801 375     |               |               | Request for Continued Examination (RCE)                                    |          |
| 1802 900     | 1802 900     |               |               | Request for expedited examination of a design application                  |          |

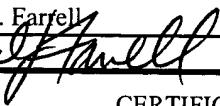
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

(Complete if applicable)

|                   |   |                                   |               |           |                |
|-------------------|---|-----------------------------------|---------------|-----------|----------------|
| Name (Print/Type) | Paul J. Farrell   | Registration No. (Attorney/Agent) | 33,494        | Telephone | (516) 228-8484 |
| Signature         |  | Date                              | July 15, 2003 |           |                |

## CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333230645US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 15, 2003

(Name) Jeff Kirshner